

# BEFORE THE UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

In the Matter of:	) DOCKET NO. FIFRA-10-2024-0035	
HANDLE HALO LLC,	) CONSENT AGREEMENT	
Redmond, Washington,	)	
Respondent.	) )	

### I. <u>STATUTORY AUTHORITY</u>

- 1.1. This Consent Agreement is issued under the authority vested in the Administrator of the U.S. Environmental Protection Agency ("EPA") by Section 14(a) of the Federal Insecticide, Fungicide, and Rodenticide Act ("FIFRA"), 7 U.S.C. § 136*l*(a).
- 1.2. Pursuant to Section 14(a) of FIFRA, 7 U.S.C. § 136*l*(a), and in accordance with the "Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties," 40 C.F.R. Part 22, the EPA issues, and Handle Halo LLC ("Respondent") agrees to issuance of, the Final Order attached to this Consent Agreement ("Final Order").

### II. PRELIMINARY STATEMENT

- 2.1. In accordance with 40 C.F.R. §§ 22.13(b) and 22.18(b), issuance of this Consent Agreement commences this proceeding, which will conclude when the Final Order becomes effective.
- 2.2. The Director of the Enforcement and Compliance Assurance Division, EPA Region 10 ("Complainant") has been delegated the authority pursuant to Section 14(a) of FIFRA,

In the Matter of: Handle Halo LLC Docket Number: FIFRA-10-2024-0035 Consent Agreement

Page 1 of 9

U.S. Environmental Protection Agency 1200 Sixth Avenue, Suite 155, 11-C07 Seattle, Washington 98101 7 U.S.C. § 136l(a), to sign consent agreements between the EPA and the party against whom an

administrative penalty for violations of FIFRA is proposed to be assessed.

2.3. Part III of this Consent Agreement contains a concise statement of the factual and

legal basis for the alleged violations of FIFRA together with the specific provisions of FIFRA and

the implementing regulations that Respondent is alleged to have violated.

III. **ALLEGATIONS** 

Statutory and Regulatory Background

3.1. Pursuant to Section 12(a)(1)(F) of FIFRA, 7 U.S.C. § 136j(a)(1)(F), it is unlawful

for any person in any State to distribute or sell to any person any device which is misbranded.

3.2. Section 2(s) of FIFRA, 7 U.S.C. § 136(s), defines a "person" as "any individual,

partnership, association, corporation, or any organized group of persons whether incorporated or

not."

Section 2(h) of FIFRA, 7 U.S.C. § 136(h), defines a "device" as "any instrument or 3.3.

contrivance (other than a firearm) which is intended for trapping, destroying, repelling, or

mitigating any pest or any other form of plant or animal life (other than man and other than

bacteria, virus, or other microorganism on or in living man or other living animals); but not

including equipment used for the application of pesticides when sold separately therefrom."

Section 2(t) of FIFRA, 7 U.S.C. § 136(t), defines "pest" as "(1) any insect, rodent, 3.4.

nematode, fungus, weed, or (2) any other form of terrestrial or aquatic plant or animal life or virus,

bacteria, or other micro-organism (except viruses, bacteria, or other micro-organisms on or in

living man or other living animals) which the Administrator declares to be a pest under section

136w(c)(1) of this title."

In the Matter of: Handle Halo LLC Docket Number: FIFRA-10-2024-0035 **U.S. Environmental Protection Agency** 

3.5. Section 2(gg) of FIFRA, 7 U.S.C. § 136(gg) defines "to distribute or sell" as "to

distribute, sell, offer for sale, hold for distribution, hold for sale, hold for shipment, ship, deliver

for shipment, release for shipment, or receive and (having so received) deliver or offer to deliver."

3.6. The regulation at 40 C.F.R. § 152.3 further defines "distribute or sell" as "the acts

of distributing, selling, offering for sale, holding for sale, shipping, holding for shipment,

delivering for shipment, or receiving and (having so received) delivering or offering to deliver, or

releasing for shipment to any person in any State."

3.7. The regulation at 40 C.F.R. § 152.500(b) clarifies that devices are not required to

be registered under FIFRA Section 3, but are subject to the requirements set forth in: "(1) FIFRA

sec. 2(q)(1) and part 156 of this chapter, with respect to labeling;" "(5) FIFRA sec. 12, 13, and

14, with respect to violations, enforcement activities, and penalties;" and "(6) FIFRA sec. 17, with

respect to imports and export of devices."

Section 2(p) of FIFRA, 7 U.S.C. § 136(p), defines "label" as "the written, printed, 3.8.

or graphic matter on, or attached to, the pesticide or device or any of its containers or wrappers."

This section also defines "labeling" as "all labels and all other written, printed, or graphic matter

(A) accompanying the pesticide or device at any time; or (B) to which reference is made on the

label or in literature accompanying the pesticide or device..."

3.9. Section 2(q)(1)(A) of FIFRA, 7 U.S.C. § 136j(q)(1)(A), states that a device is

"misbranded" if "its labeling bears any statement, design, or graphic representation relative thereto

or to its ingredients which is false and misleading in any particular."

The regulation at 40 C.F.R. § 156.10(a)(5)(ii) states that a device is misbranded if

its labeling contains a false or misleading statement concerning the effectiveness of the product as

a pesticide or device.

In the Matter of: Handle Halo LLC Docket Number: FIFRA-10-2024-0035 3.11. The regulation at 40 C.F.R. § 156.10(a)(5)(ix) states that a device is misbranded if

the labeling makes "[c]laims as to the safety of the pesticide or its ingredients, including statements

such as "safe," "nonpoisonous," "noninjurious," "harmless" or "nontoxic to humans and

pets' with or without such a qualifying phrase as "when used as directed."

3.12. Pursuant to FIFRA Section 17(c)(1) of FIFRA, 7 U.S.C. § 136o(c)(1), imported

misbranded devices may be refused admission into the United States.

3.13. Pursuant to Section 14(a)(1) of FIFRA, 7 U.S.C. § 136*l*(a)(1), and 40 C.F.R. Part

19, the EPA may assess a civil penalty of not more than \$24,255 for each offense.

**General Allegations** 

3.14. Respondent is incorporated in the State of Washington. Therefore, Respondent is a

"person" as that term is defined in Section 2(s) of FIFRA, 7 U.S.C. § 136(s).

3.15. The product "Handle Halo" is a "device" as that term is defined by Section 2(h) of

FIFRA, 7 U.S.C. § 136(h).

**Count 1: Sale or Distribution of a Misbranded Device** 

3.16. The allegations in Paragraphs 3.1 through 3.15 of this Consent Agreement are

incorporated herein by reference.

3.17. On November 1, 2022, OSI Electronics imported a shipment of the "Handle Halo"

product on behalf of Respondent.

3.18. On November 3, 2022, EPA issued a Notice of Refused Admissions pursuant to

FIFRA Section 17(c)(1) of FIFRA, 7 U.S.C. § 1360(c)(1) for the shipment of the "Handle Halo"

device.

In the Matter of: Handle Halo LLC Docket Number: FIFRA-10-2024-0035

3.19. At all times relevant to this Consent Agreement, the labelling materials for each

"Handle Halo" device contained the following false or misleading statements, rendering the device

"misbranded" as that term is defined by Section 2(q)(1)(A) of FIFRA, 7 U.S.C. § 136(q)(1)(A):

1) "Handle Halo features touch-safe technology to automatically stop the

sanitation process when motion is detected."

2) "Quickly disinfects bacteria, viruses and fungi on surfaces."

3) "Handle Halo keeps your family and customers safe with multi-spectrum,

motion-activated UV-C LEDs that automatically sanitizes surfaces after

they are touched."

4) "Rest assured and have confidence in Handle Halo keeping your surfaces

sanitized."

5) "Keep your family and customers safe."

6) "Safe and Healthy No Chemicals."

7) "Handle Halo Germicidal ultraviolet (UV-C) light inactivates the DNA of

many bacterias and viruses – preventing their ability to multiply."

8) "UVC radiation is a known disinfectant for air, water, and nonporous

surfaces. UVC radiation has effectively been used for decades to reduce the

spread of bacteria, such as tuberculosis, MRSA to E.coli.. Handle Halo has

brought this technology and applied it to the #1 touchpoint in households

and businesses which are handles, knobs, and levers. Handle Halo also is

great for other applications such as light switches, pin pads, garage door

opener, even microwave keypads."

3.20. Respondent imported, and thus distributed or sold, the misbranded "Handle Halo"

device once on or around November 1, 2022. Therefore, on or around November 1, 2022,

Respondent violated Section 12(a)(1)(F) of FIFRA, 7 U.S.C. § 136j(a)(1)(F) at least one time.

IV. TERMS OF SETTLEMENT

4.1. Respondent admits the jurisdictional allegations of this Consent Agreement.

4.2. Respondent neither admits nor denies the specific factual allegations contained in

this Consent Agreement.

4.3. In determining the amount of penalty to be assessed, the EPA has taken into account

the factors specified in Section 14(a)(4) of FIFRA, 7 U.S.C. § 136l(a)(4). After considering all of

these factors, the EPA has determined and Respondent agrees that an appropriate penalty to settle

this action is \$2,420 (the "Assessed Penalty").

4.4. Respondent agrees to pay the Assessed Penalty within 30 days of the effective date

of the Final Order.

4.5. Payments under this Consent Agreement and the Final Order may be paid by check

(mail or overnight delivery), wire transfer, ACH, or online payment. Payment instructions are

available at: www.epa.gov/financial/makepayment. Payments made by check must be payable to

the order of "Treasurer, United States of America" and delivered to the following address:

Address format for standard delivery (no delivery confirmation requested):

Address format for signed receipt confirmation (FedEx, DHL, UPS, USPS certified, registered,

etc):

U.S. Environmental Protection Agency

P.O. Box 979078

St. Louis, MO 63197-9000

U.S. Environmental Protection Agency

Government Lockbox 979078

3180 Rider Trail S. Earth City, MO 63045

Respondent must note on the check the title and docket number of this action.

4.6. Concurrently with payment, Respondent must serve photocopies of the check, or

proof of other payment method, described in Paragraph 4.5 on the Regional Hearing Clerk and

EPA Region 10 at the following addresses:

Regional Hearing Clerk

U.S. Environmental Protection Agency

Region 10

R10 RHC@epa.gov

Andrew Landry

U.S. Environmental Protection Agency

Region 10

landry.andrew@epa.gov

4.7. If Respondent fails to pay any portion of the Assessed Penalty in full by its due

date, the entire unpaid balance of the Assessed Penalty and accrued interest shall become

immediately due and owing. If such a failure to pay occurs, Respondent may be subject to a civil

action under Section 14(a)(5) of FIFRA, 7 U.S.C. § 136l(a)(5), to collect any unpaid penalties,

together with interest, handling charges, and nonpayment penalties, as set forth below.

4.8. If Respondent fails to pay any portion of the Assessed Penalty in full by its due

date, Respondent shall also be responsible for payment of the following amounts:

a. Interest. Pursuant to 31 U.S.C. § 3717(a)(1), any unpaid portion of the

Assessed Penalty shall bear interest at the rate established by the Secretary of the Treasury

from the effective date of the Final Order contained herein, provided, however, that no

interest shall be payable on any portion of the Assessed Penalty that is paid within 30 days

of the effective date of the Final Order contained herein.

b. Handling Charge. Pursuant to 31 U.S.C. § 3717(e)(1), a monthly handling

charge of \$15 shall be paid if any portion of the Assessed Penalty is more than 30 days past

due.

c. Nonpayment Penalty. Pursuant to 31 U.S.C. § 3717(e)(2), a nonpayment

penalty of 6% per annum shall be paid on any portion of the Assessed Penalty that is more

In the Matter of: Handle Halo LLC Docket Number: FIFRA-10-2024-0035

U.S. Environmental Protection Agency 1200 Sixth Avenue, Suite 155, 11-C07 Seattle, Washington 98101 than 90 days past due, which nonpayment shall be calculated as of the date the underlying

penalty first becomes past due.

4.9. The Assessed Penalty, including any additional costs incurred under Paragraph 4.8,

represents an administrative civil penalty assessed by the EPA and shall not be deductible for

purposes of federal taxes.

The undersigned representative of Respondent certifies that he or she is authorized

to enter into the terms and conditions of this Consent Agreement and to bind Respondent to this

document.

4.11. Except as described in Paragraph 4.8, each party shall bear its own costs and

attorneys' fees in bringing or defending this action.

4.12. For the purposes of this proceeding, Respondent expressly waives any affirmative

defenses and the right to contest the allegations contained in the Consent Agreement and to appeal

the Final Order.

4.13. The provisions of this Consent Agreement and the Final Order shall bind

Respondent and its agents, servants, employees, successors, and assigns.

Respondent consents to the issuance of any specified compliance or corrective 4.14.

action order, to any conditions specified in this consent agreement, and to any stated permit action.

In the Matter of: Handle Halo LLC Docket Number: FIFRA-10-2024-0035

Page 8 of 9

4.15. The above provisions in Part IV are STIPULATED AND AGREED upon by Respondent and EPA Region 10.

DATED:

3-12-24

FOR RESPONDENT:

TRAVIS HENDERSON, Officer

Handle Halo LLC

FOR COMPLAINANT:

EDWARD KOWALSKI Digitally signed by EDWARD

KOWALSKI

Date: 2024.03.15 11:56:45 -07'00'

EDWARD J. KOWALSKI, Director

Enforcement & Compliance Assurance Division

EPA Region 10

## BEFORE THE UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

In the Matter of:	) DOCKET NO. FIFRA-10-2024-0035
HANDLE HALO LLC,	) FINAL ORDER
Redmond, Washington.	)
Respondent.	) ) )

- 1.1. The Administrator has delegated the authority to issue this Final Order to the Regional Administrator of EPA Region 10, who has redelegated this authority to the Regional Judicial Officer in EPA Region 10.
- 1.2. The terms of the foregoing Consent Agreement are ratified and incorporated by reference into this Final Order. Respondent is ordered to comply with the terms of settlement.
- 1.3. The Consent Agreement and this Final Order constitute a settlement by the EPA of all claims for civil penalties under FIFRA for the violation alleged in Part III of the Consent Agreement. In accordance with 40 C.F.R. § 22.31(a), nothing in this Final Order shall affect the right of the EPA or the United States to pursue appropriate injunctive or other equitable relief or criminal sanctions for any violations of law. This Final Order does not waive, extinguish, or otherwise affect Respondent's obligations to comply with all applicable provisions of FIFRA and regulations promulgated or permits issued thereunder.

In the Matter of: Handle Halo LLC Docket Number: FIFRA-10-2024-0035

Final Order Page 1 of 2

	1.4.	This Final Order shall become effective upon filing with the Regional Hearing
Clerk.		
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#### Certificate of Service

The undersigned certifies that the original of the attached CONSENT AGREEMENT AND FINAL ORDER, In the Matter of: Handle Halo LLC, Docket No.: FIFRA-10-2024-0035 was filed with the Regional Hearing Clerk and that a true and correct copy was served on the date specified below to the following addressees via electronic mail:

Rachel M. Breslin Assistant Regional Counsel U.S. Environmental Protection Agency Breslin.rachel@epa.gov

Travis Henderson Officer Handle Halo LLC roofwash@hotmail.com

> Regional Hearing Clerk EPA Region 10